

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

4	ADAPTIX, Inc., v. Plaintiff,	Case No. 5:13-cv-01774-PSG
<b>ORDER REGARDING E-DISCOVERY</b>		
5	MOTOROLA MOBILITY LLC, <i>et al.</i> , Defendants.	
6	ADAPTIX, Inc., v. Plaintiff,	Case No. 5:13-cv-01776-PSG
7	APPLE, INC., <i>et al.</i> , Defendants.	
8	ADAPTIX, Inc., v. Plaintiff,	Case No. 5:13-cv-01777-PSG
9	APPLE, INC., <i>et al.</i> , Defendants.	
10	ADAPTIX, Inc., v. Plaintiff,	Case No. 5:13-cv-01778-PSG
11	AT&T MOBILITY LLC, <i>et al.</i> , Defendants.	
12	ADAPTIX, Inc., v. Plaintiff,	Case No. 5:13-cv-01844-PSG
13	CELLCO PARTNERSHIP <i>d/b/a</i> VERIZON WIRELESS, <i>et al.</i> , Defendants.	
14	ADAPTIX, Inc., v. Plaintiff,	Case No. 5:13-cv-02023-PSG
15	APPLE, INC., <i>et al.</i> , Defendants.	

1                   **ORDER REGARDING E-DISCOVERY**

2                   The Court ORDERS as follows:

3                   1.        This order supplements all other discovery rules and orders. It streamlines  
4                   Electronically Stored Information (“ESI”) production to promote a “just, speedy, and  
5                   inexpensive determination” of this action, as required by Federal Rule of Civil Procedure 1.

6                   2.        This order may be modified in the court’s discretion or by agreement of the  
7                   parties. If the parties cannot resolve their disagreements regarding any such modifications, the  
8                   parties shall submit their competing proposals and a summary of their dispute.

9                   3.        A party’s meaningful compliance with this order and efforts to promote efficiency  
10                  and reduce costs will be considered in cost-shifting determinations.

11                  4.        Absent a showing of good cause, general ESI production requests under Federal  
12                  Rules of Civil Procedure 34 and 45, or compliance with a mandatory disclosure requirement of  
13                  this Court, shall not include metadata. However, fields showing the date and time that the  
14                  document was sent and received, as well as the complete distribution list, shall generally be  
15                  included in the production if such fields exist.

16                  5.        Absent agreement of the parties or further order of this court, the following  
17                  parameters shall apply to ESI production:

18                   A.        General Document Image Format. Each electronic document shall be  
19                   produced in Portable Document Format (“PDF”) or single-page Tagged  
20                   Image File Format (“TIFF”) format. Each hard copy document shall be  
21                   scanned and produced in PDF or single-page TIFF format. TIFF files  
22                   shall be single page and shall be named with a unique production number  
23                   followed by the appropriate file extension. PDF files shall be named with  
24                   a unique production number followed by the appropriate file extension.  
25                   Concordance load files with opticon shall be provided to indicate the  
26                   location and unitization of the PDF or TIFF files. If a document is more

1 than one page, the unitization of the document and any attachments and/or  
2 affixed notes shall be maintained as they existed in the original document.

- 3 B. Text-Searchable Documents. No party has an obligation to make its  
4 production text-searchable; however, if a party's documents already exist  
5 in text-searchable format independent of this litigation, or are converted to  
6 text-searchable format for use in this litigation, including for use by the  
7 producing party's counsel, then such documents shall be produced in the  
8 same text-searchable format at no cost to the receiving party.
- 9 C. Footer. Each document image shall contain a footer with a sequentially  
10 ascending production number.
- 11 D. Native Files. A party that receives a document produced in a format  
12 specified above may make a reasonable request to receive the document in  
13 its native format, and upon receipt of such a request, the producing party  
14 shall produce the document in its native format.
- 15 E. No Backup Restoration Required. Absent a showing of good cause, no  
16 party need restore any form of media upon which backup data is  
17 maintained in a party's normal or allowed processes, including but not  
18 limited to backup tapes, disks, SAN, and other forms of media, to comply  
19 with its discovery obligations in the present case.
- 20 F. Voicemail, Instant Messages, and Mobile Devices. Absent a showing of  
21 good cause, voicemails, instant messages, PDAs and mobile phones are  
22 deemed not reasonably accessible and need not be collected and preserved.

23 6. General ESI production requests under Federal Rules of Civil Procedure 34 and  
24 45, or compliance with a mandatory disclosure order of this court, shall not include e-mail or  
25 other forms of electronic correspondence (collectively "e-mail"). To obtain e-mail parties must  
26 propound specific e-mail production requests.

1       7. E-mail production requests shall be phased to occur timely after the parties have  
2 exchanged initial disclosures, a specific listing of likely e-mail custodians, a specific  
3 identification of the six most significant listed e-mail custodians in view of the pleaded claims  
4 and defenses, infringement contentions and accompanying documents pursuant to P.R. 3-1 and  
5 3-2, invalidity contentions and accompanying documents pursuant to P.R. 3-3 and 3-4, and  
6 preliminary information relevant to damages, but in no event before November 1, 2012. The  
7 exchange of this information shall occur at the time required under the Federal Rules of Civil  
8 Procedure, Local Rules, or by order of the court. Subject to the limits provided in the Court's  
9 Discovery Order, each requesting party may also propound up to five written discovery requests  
10 and take one deposition per producing party to identify the proper custodians, proper search  
11 terms, and proper time frame for e-mail production requests. The court may allow additional  
12 discovery upon a showing of good cause.

13       8. E-mail production requests shall identify the custodian, search terms, and time  
14 frame. The parties shall cooperate to identify the proper custodians, proper search terms, and  
15 proper time frame. Each requesting party shall limit its e-mail production requests to a total of  
16 six custodians per producing party. The parties may jointly agree to modify this limit without the  
17 court's leave. The court shall consider contested requests for additional or fewer custodians per  
18 producing party, upon showing a distinct need based on the size, complexity, and issues of this  
19 specific case.

20       9. Each requesting party shall limit its e-mail production requests to a total of ten  
21 search terms per custodian per party. The parties may jointly agree to modify this limit without  
22 the court's leave. The court shall consider contested requests for additional or fewer search  
23 terms per custodian, upon showing a distinct need based on the size, complexity, and issues of  
24 this specific case. The search terms shall be narrowly tailored to particular issues. Indiscriminate  
25 terms, such as the producing company's name or its product name, are inappropriate unless  
26 combined with narrowing search criteria that sufficiently reduce the risk of overproduction. A  
27 conjunctive combination of multiple words or phrases (e.g., "computer" and "system") narrows

1 the search and shall count as a single search term. A disjunctive combination of multiple words  
2 or phrases (e.g., "computer" or "system") broadens the search, and thus each word or phrase  
3 shall count as a separate search term unless they are variants of the same word. Use of narrowing  
4 search criteria (e.g., "and," "but not," "w/x") is encouraged to limit the production and shall be  
5 considered when determining whether to shift costs for disproportionate discovery.

6 10. Pursuant to Federal Rule of Evidence 502(d), the inadvertent production of a  
7 privileged or work product protected ESI is not a waiver in the pending case or in any other  
8 federal or state proceeding.

9 11. The mere production of ESI in a litigation as part of a mass production shall not  
10 itself constitute a waiver for any purpose.

11 12. Except as expressly stated, nothing in this order affects the parties' discovery  
12 obligations under the Federal or Local Rules, or this Court's Discovery Order.

13  
14 IT IS SO ORDERED.

15  
16 Dated: August 14, 2013



17 PAUL S. GREWAL  
United States Magistrate Judge